

# FRED BERESKIN

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University of Delaware      *Fax:* (302) 831-3061  
Newark, DE 19716      bereskin@udel.edu

EMPLOYMENT      Assistant Professor of Finance      University of Delaware      2010-Present  
Visiting Assistant Professor of Finance      Drexel University      2016-2017

EDUCATION      Ph.D.      Finance      University of Rochester      2010  
Minor: Accounting  
M.S.      Business Administration      University of Rochester      2007  
B.Math.      Business Administration      University of Waterloo      2004

RESEARCH INTERESTS      Corporate Finance  
Corporate Governance  
Corporate Social Responsibility  
Law & Economics  
Innovation

PUBLICATIONS AND WORKING PAPERS      **“CEO compensation contagion: Evidence from an exogenous shock,”**  
with David Cicero  
*Journal of Financial Economics*, 107(2), February 2013  
Summary in *Finance and Accounting Memos*, 1(2), Summer 2014

**“The effect of cultural similarity on mergers and acquisitions,”** with  
Seong Byun, Micah Officer, and Jong-Min Oh  
*Journal of Financial and Quantitative Analysis*, Forthcoming  
Featured in *Harvard Law School Corporate Governance Forum*

**“The real effects of real earnings management: Evidence from innovation,”** with Po-Hsuan Hsu and Wendy Rotenberg  
*Contemporary Accounting Research*, Forthcoming

**“Whistle blowing and forced CEO turnover: How do socially responsible employees and directors react to misconduct?,”** with Terry Campbell and Simi Kedia  
*Management Science*, *Revise & Resubmit (third-round)*

**“Corporate philanthropy, research networks, and collaborative innovation,”** with Terry Campbell and Po-Hsuan Hsu  
*Financial Management*, 45(1), Spring 2016  
Featured in *The Chronicle of Philanthropy* and *The Medicine Maker*

**“Mechanisms of board turnover: Evidence from backdating,”** with

Clifford Smith  
*Journal of Applied Corporate Finance*, 26(2), Spring 2014  
Best paper award semi-finalist, FMA 2012  
Featured in *Harvard Law School Corporate Governance Forum*  
Featured in *CFA Digest*, 44(12)

**“Do credit rating concerns lead to better corporate governance? Evidence from Korea,”** with Bushik Kim and Dongchuhl Oh  
*Pacific-Basin Finance Journal*, 35(B), November 2015  
Featured in *The Financial News* (in Korean)

**“Corporate philanthropy and innovation: The case of the pharmaceutical industry,”** with Po-Hsuan Hsu  
*Journal of Applied Corporate Finance*, 28(2), Spring 2016  
Featured in *The Medicine Maker*

**“So sue me! Patent litigation and stock returns,”** with Po-Hsuan Hsu, William Latham, and Huijun Wang

**“The real effects of cutting advertising to manage earnings: Evidence from trademark data,”** with Po-Hsuan Hsu, Ke Na, and Wendy Rotenberg

**“Bringing in changes: The effect of new CEOs on innovation,”** with Po-Hsuan Hsu  
Featured in *Harvard Law School Corporate Governance Forum*

**“Do economic downturns influence earnings management behavior?,”** with Robert Kieschnick and Wendy Rotenberg

**“Poison puts: Corporate governance structure or mechanism for shifting risk?”** with Helen Bowers  
Best paper award semi-finalist, FMA 2015

CONFERENCE  
PROCEEDINGS

**“Executive succession and organizational innovation,”** with Ciaran Heavey, Po-Hsuan Hsu, and Zeki Simsek  
*Academy of Management Best Paper Proceedings (for top 10% papers in the conference program), Organization & Management Theory*, October 2013

TEACHING  
EXPERIENCE

**Instructor (University of Delaware)**

Principles of Finance (Undergraduate core and Honors section; twice nominated for university’s prestigious Excellence in Teaching Award)  
Corporate Governance (MBA and MS elective)

**Instructor (Drexel University)**

Investment, Securities, and Markets (Undergraduate core)  
Investment Management (MBA and MS core)

**Instructor (University of Rochester)**

Core Economics (MS core)

**Lab Instructor (University of Rochester)**

Capital Budgeting and Corporate Objectives (MBA core)

JOURNAL  
REFEREE  
SERVICE

Journal of Finance (2), Review of Financial Studies, Management Science (4), Asia-Pacific Journal of Financial Studies, Corporate Governance: An International Review, Finance Research Letters, Financial Review (2), Journal of Banking and Finance (4), Journal of Business Ethics (2), Journal of Corporate Finance, Journal of Economics and Business, Journal of International Business Studies, Journal of the Japanese and International Economies (2)

HONORS &  
AWARDS

**University of Delaware**

MBA Curriculum Grant (2016)  
IRRC Research Grant, with Helen Bowers (2013)  
General University Research Grant (2011, 2017)  
Nominated for Excellence in Teaching Award (2011, 2012)

**University of Rochester**

Instructor teaching award  
Lab instructor teaching award  
Doctoral fellowship  
Performance awards for highest grades in doctoral  
qualifying and core exams

**CFA Institute**

CFA Institute Scholarship

**American Finance Association**

Annual meeting travel grant

**University of Waterloo**

Graduated with highest distinction (2004)  
Dean's Honors List (1999-2004)  
Accenture Award (2003; leadership, academic achievement)  
Dixon Award (2003; academic achievement)  
Hudson's Bay Co. Scholarship (2001; leadership, extracurricular activities)

RESEARCH  
PRESENTATIONS

**2015:** University of Waterloo  
**2014:** Korea-America Economic Association, Schulich-JCF Conference on Fi-

nancial Market Misconduct, University of Delaware Corporate Governance Conference, International Risk Management Conference, Financial Management Association, University of Calgary, Wilfrid Laurier University

**2013:** CGIR-Schulich Conference on Entrepreneurship, Drexel University, Lehigh University, McMaster University, Northern Finance Association, University of Guelph

**2012:** Financial Management Association, CFA-FAJ-Schulich Conference on Financial Fraud

**2011:** Eastern Finance Association, European Financial Management Association, Financial Management Association, International Paris Finance Conference, Kauffman Foundation Conference on Empirical Entrepreneurship, Villanova University

**2010:** University of Delaware, University of Arizona, University of Guelph, University of Rochester, Financial Management Association, Netspar

CONFERENCE DISCUSSIONS	Financial Intermediation Research Society (2014) Financial Management Association (2009, 2010, 2011, 2012, 2014, 2016) International Paris Finance Conference (2011) Mid-Atlantic Finance Conference (2011) Netspar (2010) Northern Finance Association (2013, 2014) Schulich-CFA Annual Conference (2011, 2012, 2014) University of Delaware Corporate Governance Conference (2010, 2012)
EXTERNAL GRANT AND CONFERENCE REVIEWS	Social Sciences and Humanities Research Council of Canada (SSHRC) Insight Grant, 2015 MIT Asia Conference in Accounting
CONFERENCE PROGRAM COMMITTEES	Drexel Corporate Governance Conference (2017), Financial Management Association (2013, 2015, 2016, 2017), Midwestern Finance Association (2013), Northern Finance Association (2015, 2016, 2017)
SERVICE AT UNIVERSITY OF DELAWARE	Member of Department of Finance Committees: Recruiting (2010-2015), Undergraduate Curriculum (2012-2015), Learning Assessment (2011) Finance Department Seminar Series Co-ordinator (2012-2014, 2015-2016) Annual Corporate Governance Conference Program (2012)
INDUSTRY AND COMMUNITY EXPERIENCE	4/2003-12/2003 <b>Legg Mason Canada</b> , Fixed Income Analyst 5/2002-8/2002 <b>Bank of Nova Scotia</b> , Treasury Risk Analyst 9/2001-12/2001 <b>CIBC World Markets</b> , Credit Risk Analyst 2003-2004 and 2011-Present Various non-profit organizations, Board of Directors
PROFESSIONAL CERTIFICATION	<b>CFA Institute</b> Chartered Financial Analyst